Applying the UN Guiding Principles on Business and Human Rights to the ICT Industry

A Briefing Paper From BSR

Version 1.0
August 2011
About This Briefing Paper

This briefing paper was written to provide insight for information and communications technology (ICT) companies on how to apply the UN Guiding Principles on Business and Human Rights. This briefing paper is not static, but will be updated with new versions upon further learning and discussions with ICT companies and stakeholders, hence the “Version 1.0” qualifier.

Please direct all comments to BSR’s Dunstan Allison Hope (dhope@bsr.org), Farid Baddache (fbaddache@bsr.org), or Faris Natour (fnatour@bsr.org).

DISCLAIMER
BSR publishes occasional papers as a contribution to the understanding of the role of business in society and the trends related to corporate social responsibility and responsible business practices. BSR maintains a policy of not acting as a representative of its membership, nor does it endorse specific policies or standards. The views expressed in this publication are those of its authors and not necessarily those of BSR members.

ABOUT BSR
A leader in corporate responsibility since 1992, BSR works with its global network of more than 250 member companies to develop sustainable business strategies and solutions through consulting, research, and cross-sector collaboration. With offices in Asia, Europe, and North America, BSR uses its expertise in the environment, human rights, economic development, and governance and accountability to guide global companies toward creating a just and sustainable world. Visit www.bsr.org for more information.
## Contents

1. **Introduction**  
   Purpose of This Briefing

2. **The Guiding Principles on Business and Human Rights**  
   History  
   A New Global Standard  
   Guiding Principles Overview

3. **Distinguishing Features of the ICT Industry**

4. **How to Implement the Guiding Principles**  
   Human Rights Policy  
   Human Rights Impact Assessment  
   Human Rights Action Plan  
   Reporting and Communications

5. **Conclusion: Proactively Respecting Human Rights**  
   ICT-Tailored Due Diligence Tools  
   Engaging With Employees, Users, Consultants, and Stakeholders  
   Engaging With Governments  
   Making Operational Changes

6. **Working With BSR**  
   ICT Practice  
   Further Reading From BSR
1. Introduction

In June 2011, the United Nations Human Rights Council approved new Guiding Principles on Business and Human Rights. This decision represents a significant milestone in the history of business and human rights. After six years of consultation, debate, and discussion, more clarity exists today than ever before on governments’ duty to protect human rights and the business responsibility to respect human rights.

At the same time, the ICT industry is playing an increasingly significant role in today’s world. Vast ICT infrastructures and extensive flows of information have become natural features of modern life. Rapidly growing online services—everything from social media to e-commerce and virtual collaboration—have come to define our day-to-day lives in ways unimaginable just a decade ago. And seemingly innocuous changes to the ICT landscape—such as altering the internet domain name system to allow non-roman characters, or massively increasing the number of IP addresses—can have significant social implications. A world in which a car is also a computer and household devices are connected to the internet (the so-called “internet of things”) will be a very different place.

These two separate developments combine into a striking implication for the ICT industry: The consensus around the human rights responsibilities of business has arisen at precisely the same moment in history as developments in technology make the human rights impact of ICT much more significant.

Purpose of This Briefing

The key human rights question faced by companies today is this: How should companies apply the UN Guiding Principles to business strategy and operations?

The Guiding Principles are a basic expectation for all businesses of all sizes in all industries. There will be many briefings published that interpret the relevance of the Guiding Principles to large corporations in general, but this briefing doesn’t seek to repeat that good advice. Rather, this briefing highlights those parts of the Guiding Principles of greatest significance to the ICT industry and provides a BSR point of view on how ICT companies can address these aspects.

As the Introduction to the Guiding Principles describes, the Principles are “not intended as a tool kit, simply to be taken off the shelf and plugged in... when it comes to implementation, therefore, one size does not fit all.” BSR seeks to work with ICT companies to explore what size fits them.

So the question for ICT companies addressed by this briefing is this: Given the increasingly prominent role played by ICT in the world today, how can ICT companies implement the Guiding Principles effectively?
2. Guiding Principles on Business and Human Rights

The “Guiding Principles on Business and Human Rights: Implementing the United Nations ‘Protect, Respect, and Remedy’ Framework” were unanimously endorsed by the UN’s Human Rights Council in June 2011 and represent the culmination of a six-year-long multi-stakeholder discussion.

History

The process of creating the Guiding Principles began in 2005 when UN Secretary General Kofi Annan appointed Professor John Ruggie as the “Special Representative of the Secretary General on human rights and transnational corporations and other business enterprises.” The appointment of the Special Representative resulted from a failed and acrimonious attempt by the UN Human Rights Commission to define the human rights responsibilities of business (the so-called “Draft Norms”).

A significant milestone took place in 2008 when the Human Rights Council unanimously welcomed the Special Representative’s proposed “Protect, Respect, Remedy” Framework (see below) and extended his mandate for three years to recommend how to “operationalize” the Framework. That final phase resulted in the publication of the Guiding Principles in June 2011.

A New Global Standard

The Guiding Principles were endorsed by the UN Human Rights Council and have received the support of a wide range of business, labor, and human rights organizations. Governments, businesses and investors have begun applying the framework and principles in their human rights work. As such, the Guiding Principles represent a new global standard on business and human rights. The standard can be summarized as follows:

![UN “Protect, Respect, Remedy” Framework](image-url)

**UN “Protect, Respect, Remedy” Framework**

**State Duty to Protect**

Against human rights abuses through appropriate policies, regulation, and adjudication.

**Corporate Responsibility to Respect**

Act with due diligence to avoid infringing on human rights and address adverse impacts.

**Access to Effective Remedy**

For victims of corporate-related abuse, judicial and non-judicial.

“Guiding Principles on Business and Human Rights” for implementation of the Framework
As a result of the Framework and Guiding Principles, there exists today a near-global consensus among governments, businesses, civil society organizations, and investors on the respective duties and responsibilities of states and businesses towards human rights. Organizations as varied as the International Trade Union Confederation, the International Chamber of Commerce, EarthRights International, Coca-Cola, Total, and investors representing more than US$2.7 trillion in assets have all voiced their support for the Guiding Principles.

Some advocacy groups, including Human Rights Watch and Amnesty International, have voiced important criticism, suggesting that the principles’ standards for government and business are too low; but even these organizations are likely to invoke the Guiding Principles in their efforts with business, while advocating at the UN level for more stringent standards.

Guiding Principles Overview

It is important to note that the Guiding Principles do not create any new legal obligations for states of business. Rather, the Guiding Principles:

» Elaborate the implications of existing human rights standards.
» Provide guidance on how states and companies can better meet their duties and responsibilities respectively.
» Identify gaps in human rights protections and where improvements might be made.

The Guiding Principles require that companies know and show that they respect human rights by:

» Having a human rights policy
» Conducting human rights due diligence
» Assessing actual and potential human rights impacts
» Integrating findings across the company
» Tracking and communicating performance

The Guiding Principles note that individual company implementation of these aspects should be shaped by issues of context, such as the company’s size, geographical presence, and industry. This briefing paper focuses on the context of the ICT industry and provides BSR’s analysis of how the Guiding Principles can be applied by ICT companies using four key dimensions:

» Policy
» Impact assessment
» Strategy
» Integration

This briefing paper focuses on the context of the ICT industry and provides BSR’s analysis of how the Guiding Principles can be applied by ICT companies using four key dimensions: policy, impact assessment, strategy, and integration.

The Guiding Principles note that individual company implementation of these aspects should be shaped by issues of context, such as the company’s size, geographical presence, and industry.
3. Distinguishing Features of the ICT Industry

In order to understand the relevance of the Global Principles for ICT companies, it is important to highlight a few key characteristics and distinguishing features of the ICT industry. First, there are a number of characteristics of the ICT industry relevant to human rights that are similar to characteristics of many other industries:

» **Employment:** The industry is a significant direct employer, and so the human rights of employees will be highly relevant

» **Manufacturing:** The industry is characterized by complex global manufacturing supply chains, so the human rights of workers in supply chains and manufacturing settings will be highly relevant.

» **Raw Materials Extraction:** The industry is a significant user of raw materials, including some of very high value and/or located in conflict zones, so the human rights of workers and communities in mining zones will be highly relevant.

Second, and perhaps more important for this briefing, there are distinguishing features of the ICT industry that are somewhat different than many other sectors. These distinguishing features have significant implications for how best to respect human rights in the ICT industry. They are described in detail in BSR’s “Protecting Human Rights in the Digital Age” report (February 2011), and are summarized here:

<table>
<thead>
<tr>
<th>Distinguishing Feature</th>
<th>Implications for Human Rights</th>
</tr>
</thead>
</table>
| **End User**           | » The role of the product or service end user in human rights is more significant in the ICT industry than other sectors. Whether exposing human rights abuses online, using the internet as a platform for political discourse, or having privacy rights violated, the end user plays a particularly significant role in the human rights impact of ICT.  
  » End users are increasingly innovating with ICT products and services in unexpected ways that may be beyond company control. |
| **Legal Frameworks**   | » New technologies, products, services, and business models tend to be introduced much faster than laws can be enacted to regulate them. Regulatory processes often move more slowly than ICT product and service development.  
  » Governments around the world are making increasing demands on ICT companies—some positive and some negative—that impact human rights.  
  » Laws that are enacted for ICT can sometimes conflict with internationally recognized human rights. |
| **Jurisdictional Complexity** | » The internet is global, but laws and regulations governing ICT companies are often national.  
  » The evolutions in ICT use are raising important questions about legal jurisdiction, especially regarding data as it flows across international borders or is stored in multiple jurisdictions. Human rights risks can vary depending on which country personal information is stored in, and how a company’s network is structured. |
<table>
<thead>
<tr>
<th>Distinguishing Feature</th>
<th>Implications for Human Rights</th>
</tr>
</thead>
</table>
| Technological Complexity | » New technology can be complex to understand, and new product functionalities are rapidly introduced.  
» New products and services bring new risks and opportunities all the time, sometimes with unpredictable consequences.  
» Rapid global communications can magnify the impact and significance of important events and incidents. |
| B2B and B2G: Relationships With Enterprise and Government Customers | » While ICT companies have little control over the actions of individual end users, they do have closer relationships with enterprise and public sector customers. ICT companies often co-innovate and co-design products and services with their major customers.  
» These enterprise and public sector customers can use ICT products, services, and technology for a variety of purposes—some good, some detrimental (known as the “dual use” dilemma). |
4. How to Implement the Guiding Principles

BSR recommends that businesses use the Guiding Principles to create a human rights strategy based on four features:

» A **Human Rights Policy** that expresses a commitment to respect human rights and provides a focal point for internal and external communication on human rights

» A corporate-wide **Human Rights Impact Assessment** that identifies key human rights risks and prioritizes areas requiring deeper assessment

» A **Human Rights Action Plan** to eliminate abuses, mitigate or avoid risk, and capitalize on opportunities for positive impact. Implementation of the action plan should be integrated into key corporate functions and overseen by some type of cross-functional body.

» **Report and communicate** human rights impacts and how they are being addressed.

There are a number of briefings that describe how businesses in general should implement this four-part human rights strategy. This briefing does not seek to repeat that advice; rather this briefing highlights those parts of the Guiding Principles of greatest significance to the ICT industry across the same four-part human rights strategy structure.

**Human Rights Policy**

A human rights policy is the starting point for a human rights strategy and, among other elements, should include a commitment to avoid human rights abuses and complicity in the abuses of others, and a summary of the company’s biggest human rights risks and opportunities. For ICT companies, the biggest human rights-related risks and opportunities will likely include, but not be limited to:

» Labor rights in manufacturing and service settings

» Raw materials sourcing in conflict zones

» Privacy and security

» Freedom of expression

» Relationships with law enforcement agencies

» Protection of children

» Access to health and education

» Participation in cultural life and access to the benefits of scientific progress

The exact human rights risk and opportunity profile, and therefore the content of the human rights policy, will vary from company to company.

However, a close reading of the Guiding Principles in the context of key characteristics and distinguishing features of the ICT industry suggests that one particular responsibility of business merits very careful consideration in an ICT company’s human rights policy. This becomes clear in Foundational Principle 13 of the Guiding Principles:

“The responsibility to respect human rights requires that business enterprises: a) avoid causing or contributing to adverse human rights impacts and b) take steps to prevent such harms from coming to light. These responsibilities are fundamental to the effective implementation of the Guiding Principles.”

**Human Rights Policy and Relevance to ICT**

» Make sure that human rights risks relating to the use of products and services by users, customers, and business partners are included.

» Reference other known risks in the value chain, such as supply chain relationships, conflict minerals, and protection of minors.
impacts through their own activities, and address such impacts as they occur; and b) seek to prevent or mitigate adverse human rights impacts that are directly linked to their operations, products, or services by their business relationships, even if they have not contributed to those impacts.” [Our emphasis]

Many of the human rights impacts that ICT companies need to identify and mitigate are those that arise not from direct operations, but from business relationships with those who may misuse their products. Recognition of this link should be made in an ICT company’s human rights policy.

Human Rights Impact Assessment and Relevance to ICT

» Make sure human rights risks arising from business relationships are assessed and understood.

» Engage with stakeholders and human rights experts with insights into the human rights impacts of ICT products and services in high-risk markets and geographies.

» Consider “deeper dive” human rights impact assessments at the product or market level.

It is significant to note the reference to products, services, and business relationships, the latter being defined by the Guiding Principles as including “relationships with business partners, entities in its value chain, and any other non-State or State entity directly linked to its business operations, products, or services.”

This brings into relevance the B2B/B2G relationships (see table on pages 6-7) that ICT companies have with enterprise and government customers, and the risk that these customers may use ICT products and services in ways that may violate human rights. ICT products and services are often “dual use” in nature and can be used for both public good and to do harm—for example, to protect public safety on the one hand, or to invade user privacy through surveillance on the other.

This will be particularly relevant for enterprise software, data storage, network equipment, and IT/telecoms services companies, who frequently co-create products and services with customers, or provide consulting advice on how to use their products. Many of the human rights impacts that ICT companies need to identify and mitigate are those that arise not from direct operations, but from business relationships with those who may misuse their products. Recognition of this link should be made in an ICT company’s human rights policy.

Human Rights Impact Assessment

A human rights impact assessment uses the “International Bill of Rights” (the Universal Declaration of Human Rights; the International Covenant on Civil and Political Rights; the International Covenant on Economic, Social, and Cultural Rights; and the eight core conventions of the ILO Declaration on Fundamental Principles and Rights at Work) to identify and prioritize human rights impacts for a company to address.

Operational Principle 18 of the Guiding Principles makes the following statement with respect to assessing human rights impact:

“In order to gauge human rights risks, business enterprises should identify and assess any actual or potential adverse human rights impacts with which they may be involved either through their own activities or as a result of their business relationships. This process should: a) draw on internal and/or independent external human rights expertise; and b) involve meaningful consultation with potentially affected groups and other relevant stakeholders...” [Our emphasis]

Operational Principle 18 suggests that ICT companies would be well served to pay specific attention to three concepts that are particularly relevant given the key characteristics and distinguishing features of the ICT industry (described on pages 6-7):

» Business relationships
» Use of external human rights expertise
Engagement with potentially affected groups

First, on business relationships, the dual use nature of ICT products and services—they can be used for the public good or to cause public harm—increases the significance of identifying and assessing potential human rights impacts that arise from their use. For example, as part of a human rights impact assessment, an ICT company might ask itself:

> What does our due diligence look like at the level of the country (i.e. market entry or exit) and at the level of the customer (i.e. customers we might choose not to sell to)?
> Do we assess the risk that customers—especially government clients, law enforcement agencies or certain categories of enterprise customer—will use our products or services to violate human rights?
> Are we present in any specific markets that might be in the high-risk category today?
> Do we know of any existing customers—or whole customer segments—that might fall into this high-risk category today?
> What human rights are at risk from these business relationships?

Second, on the use of external human rights expertise, one of the distinguishing features of the ICT industry (described in the table on pages 6-7) is its technological complexity. New technology can be complex to understand and new products and services are rapidly introduced all the time, often bringing with them new and unpredictable human rights risks and opportunities.

This unpredictability means that engagement between ICT companies (who typically understand the technology, but less about its human rights impact) and stakeholders (who typically know less about the technology, but more about possible human rights consequences) becomes particularly important. With ICT increasingly pervasive in 21st century society, deeper interaction between these two communities—at local, national, and international levels—will be critical to our ability to protect human rights in the digital age.

Third, on potentially affected groups, it is important to note from the table on pages 6-7 the role of the end user and the sometimes unpredictable consequences that arise from the introduction of a new ICT product or service. These distinguishing features of the ICT industry suggest that it will be important for ICT companies to consider “deeper dive” human rights impact assessments at the level of the product, service, or market. An ICT product launch, for example, or the introduction of a new ICT service to a high-risk country, can result in significant human rights impacts.

Human Rights Action Plan

A human rights action plan is where the company seeks to prevent negative human rights impacts by eliminating, mitigating, or avoiding human rights abuses, and integrating human rights considerations into key corporate functions. This should include oversight by some type of cross-functional body with responsibility for human rights, such as a corporate responsibility committee or a specially constituted working group.

A reading of the Guiding Principles in the context of key characteristics and distinguishing features of the ICT industry suggests that companies will be well served to pay specific attention to one specific concept given some prominence:

Human Rights Action Plan and Relevance to ICT

» Consider options for leverage in situations where the company has influence but not control over human rights impact.
» Integrate human rights considerations into contracting processes and public policy positioning.
» Consider a challenge when government demands (such as for personal information) seem inconsistent with human rights.
» Collaborate with other ICT companies and stakeholders to address shared challenges.
the concept of leverage. As stated in Operational Principle 19 of the Guiding Principles:

“In order to prevent and mitigate adverse human rights impacts, business enterprises should integrate the findings from their impact assessments across relevant internal functions and processes, and take appropriate action … b) Appropriate action will vary according to … (ii) the extent of its leverage in addressing the adverse impact.” [Our emphasis]

Given the characteristics of the ICT industry, some of its most important human rights impacts are likely to be found in circumstances where the ICT company has leverage and influence, but not control. These situations may relate to human rights impacts arising from business relationships—via customer misuse of an ICT product or service, for example, or via a supplier relationship—or because the local law conflicts with human rights and the ICT company is required to comply with that law. These scenarios are described further in BSR’s “Protecting Human Rights in the Digital Age” report (February 2011).

For this reason, the concept of “leverage” in the Guiding Principles will be an important one for ICT companies to explore and understand as they integrate human rights considerations into key corporate functions. For example, leverage may arise through:

» The sales or procurement contracting process, such as requiring certain standards of suppliers, or specifying that raw materials sourced should be conflict-free
» Public policy activities, such as advocating for privacy and freedom of expression laws that are consistent with the Universal Declaration of Human Rights and the International Covenant on Civil and Political Rights
» Using available legal mechanisms to challenge the law or its application when governments make law enforcement demands of ICT companies that conflict with human rights
» Collaborating with other companies or stakeholders to address a shared human rights challenge, such as conflict minerals or manufacturing labor standards

Interestingly for the ICT industry, the Guiding Principles point toward a couple of methods of exercising leverage (“capacity building … or collaborating with other actors”) that the industry is beginning to implement through efforts such as the Electronic Industry Citizenship Coalition (EICC), the Global e-Sustainability Initiative (GeSI), and the Global Reporting Initiative (GRI).

Human Rights Reporting and Relevance to ICT

» Work with peer ICT companies to define common and comparable human rights disclosures.
» Utilize existing forums and guidelines, such as those from Global Network Initiative (GNI), the Electronic Industry Citizenship Coalition (EICC), the Global e-Sustainability Initiative (GeSI), and the Global Reporting Initiative (GRI).

Reporting and Communications

The Guiding Principles make clear that companies should be prepared to communicate their human rights efforts externally. Operational Principle 21 states:

“In order to account for how they address their human rights impacts, enterprises should be prepared to communicate this externally … Business enterprises whose operations or operating contexts pose risks of severe human rights impacts should report formally on how they address them … [and] provide information that is sufficient to evaluate the adequacy of an enterprise’s response to the particular human rights impact involved.” [Our emphasis].
One of the key principles underpinning best practice in corporate responsibility reporting is “comparability,” the idea that report users should be able to compare one company report with another and make decisions based on the information presented. However, because the ICT sector was not the focus of the early wave of corporate responsibility enthusiasm in the 1990s, and owing to the fairly recent growth in awareness around the human rights impact of the ICT sector, there has only been slow progress toward a common approach in the industry on how to report human rights impacts. Lack of comparability still rules the day.

For this reason, the ICT industry would benefit from a deliberate effort to identify common human rights impacts on which to report. These might include, for example, disclosures relating to privacy, security, freedom of expression, relationships with law enforcement agencies, conflict minerals, labor standards in manufacturing, and the protection of vulnerable groups, such as children.

The Global Network Initiative Principles and the little-known GRI Telecommunications Sector Supplement from 2003 provide places for ICT to start on privacy and freedom of expression, while the recent Dodd-Frank regulations in the United States will require reporting on conflict minerals. There is also the potential to use the ICT industry’s convergence of supply chain management around the EICC’s code of conduct to create common disclosures.
5. Conclusion: Proactively Respecting Human Rights

The Guiding Principles represent an authoritative statement about the business responsibility to respect human rights; they provide clarity where there was previously uncertainty, and consensus where previously there was discord.

As a result, BSR anticipates that governments, civil society, and consumers will, over the coming years, increasingly expect large companies to be proactive and deliberate in their efforts to respect human rights. For the ICT industry, a key premise of BSR’s thinking is that two separate but related trends are taking place simultaneously: The scale of human rights expectations of business is on the rise, just as developments in technology make human rights risks and opportunities far more significant for the ICT industry.

So what does this mean for ICT companies? As this briefing paper describes, ICT companies need to undertake four core activities:

» Publish a **Human Rights Policy** expressing a commitment to respect all human rights
» Undertake a corporate-wide **Human Rights Impact Assessment** to identify key human rights risks and prioritize areas requiring deeper assessment
» Create a **Human Rights Action Plan** to eliminate abuses, mitigate or avoid risk, and capitalize on opportunities for positive impact
» **Report and communicate** human rights impacts and how they are being addressed

However, we believe there are four specific priorities for the ICT industry to pursue in support of these core activities:

» Create human rights due diligence tools tailored to ICT companies
» Engage with employees, users, consultants, and stakeholders
» Engage with governments
» Make operational changes

Create Human Rights Due Diligence Tools Tailored to ICT Companies.

The dual-use nature of ICT products and services increases the significance of due diligence processes for ICT companies. Depending on specific product, service, or operational profiles, important due diligence questions for ICT companies to address include:

» How can we assess the risk that customers will use our products and services to violate human rights?
» What strategies can we put in place to mitigate that risk?
» What would due diligence look like at the level of the country (e.g. market entry or exit), at the level of the customer (e.g. customers a company may choose not to sell to), or at the level of the product or service (e.g. mitigating the human rights impact of a particular new product)?
» Are there certain customers (e.g. public security customers in certain high-risk locations) that we might choose not to sell to? How can we decide?
» How can we assess if a new product or service will result in negative human rights impacts? How can we reduce that risk?

Questions such as these and others need to be brought together into practical due diligence tool for use by ICT companies.

Engage With Employees, Users, Consultants, and Stakeholders

There are all sorts of people who use ICT—end users innovating with new ICT products and services, company employees devising tailored solutions for enterprise or government customers, or consultants trained in various ICT applications advising clients on how to make the most of ICT. As the table on pages 6-7 suggests, all these users have significant potential to affect the human rights impacts of ICT companies, products, and services.

This diversity highlights the need to raise awareness and fluency among the user population about the human rights impacts of ICT. It will be important to continue the development of two new communities of experts at the intersection of ICT and human rights: communities inside ICT companies who are expert in human rights issues, and communities inside human rights organizations who are expert in new technologies. With ICT increasingly pervasive in 21st century society, deeper interaction between these two communities—at local, national, and international levels—will be critical for our collective ability to protect human rights in the digital age.

Engage With Governments

While this briefing paper has focused on the responsibility of business to respect human rights, the Guiding Principles also clearly describe the duty of governments to protect human rights through appropriate policies, regulations, and adjudication.

However, it is well known that governments can put in place laws that are inconsistent with human rights (such as freedom of expression), go beyond the law in ways that infringe on human rights (such as privacy and security), or fail to use laws available to them to protect the human rights of their citizens (such as equality or labor rights). These scenarios are especially prevalent when issues of national security are at stake, in conflict zones, or in manufacturing regions.

For these reasons, an important dimension of an ICT company’s approach to human rights should be to engage with the relevant government authorities—be that labor law regulators, justice departments, or national security services—on how the law and its enforcement can be made consistent with human rights. By encouraging governments to uphold their duty to protect human rights, companies will be able to more effectively uphold their responsibility to respect human rights, and they are less likely to be undercut by unscrupulous competitors.
Making Operational Changes

This briefing paper sets out the four components of a human rights strategy: policy, impact assessment, action plan, and reporting. However, our experience suggest that for these elements to be successful, a significant amount of effort needs to be invested in understanding the nuts-and-bolts operational changes that must be made within a company to reduce the risk of human rights abuse. And while this briefing paper has sought to focus on the ICT industry, that industry is itself diverse—the operational changes required in a telecommunications provider may be very different than those required in a consumer electronics company or an enterprise software business.

For this reason, we recommend that as part of human rights strategy ICT companies clearly identify operations that need to be brought into conformance with human rights. For example, this might include:

» Product and service marketing and sales
» Procurement processes and vendor selection
» Product and service research and design
» Business partner selection and contracting
» Processes for responding to law enforcement requests or demands
» Government and regulatory affairs

All of these elements should be included in a human rights action plan and communicated through the company’s corporate responsibility report.
6. Working With BSR

BSR has nearly 20 years of experience working with businesses and their stakeholders to address a wide range of human rights challenges. Our involvement in the protection of human rights has spanned topics as diverse as labor standards in manufacturing settings, the privacy and free expression rights of internet users, and the human rights compliance of security forces at extraction sites.

The approval of the UN Guiding Principles on Business and Human Rights represents a new era in our human rights work. The consensus that now exists around a common framework offers the opportunity to move much more rapidly from the “why” to the “how” of business and human rights. We look forward to working with BSR members and our wider network to apply the Guiding Principles and share lessons learned across companies and sectors.

ICT Practice

BSR’s ICT Practice has extensive experience on the topic of human rights in the information and communications technology industry. We facilitated the creation and launch of both the EICC (established to protect human rights in electronics supply chains) and the GNI (established to protect the human rights of internet users). We have also played a significant role in industry efforts to address conflict minerals, and we have worked with a number of companies to establish human rights strategies and action plans.

Further Reading From BSR

BSR has written a number of reports and articles about ICT and human rights that provide more in-depth analysis on the topics covered in this briefing paper.

» What Conflict Minerals Regulation Means on the Ground (Article, March 2011)
» Protecting Human Rights in the Digital Age (Report, Feb. 2011)
» Egypt and the Realization of Human Rights in the Digital Age (Blog, Feb. 2011)
» Egypt and the Complicated Ethics of the Telecommunications Industry (Blog, Feb. 2011)
» The Path to “Conflict Free”: Risk Management or Responsibility? (Article, Sept. 2010; BSR member login required)
» Protecting Human Rights in a Networked Age (Blog, Aug. 2010)
» Conflict Minerals and the Democratic Republic of Congo (Report, June 2010)
» Human Rights in a Wired World (Report, June 2009)

In addition, you can read BSR’s perspective on the UN Guiding Principles on Business and Human Rights here (BSR member login required).